



7/60/01

07-11-01 A

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	01-06-1685
First Inventor	Tsai
Title	Method of Preparing Fetuin
Express Mail Label No.	EL732948603US



APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 30]
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 1]
5. Oath or Declaration [Total Pages 2]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)

i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of (when there is an assignee) Attorney
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)
of prior application No. 09 / 414,136

Prior application information

Examiner Celsa, B.Group Art Unit 1627

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	23388 <small>(Insert Customer No. or Bar Code Label)</small>		<input type="checkbox"/> Correspondence address below
Name	23388		
Address	PATENT TRADEMARK OFFICE		
City	State		Zip Code
Country	Telephone		Fax

Name (Print/Type)	David Hong	Registration No. (Attorney/Agent)	45,704
Signature			Date <u>7/09 /2001</u>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 355)

Complete if Known

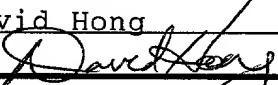
Application Number	
Filing Date	
First Named Inventor	TSAI, D.
Examiner Name	
Group Art Unit	
Attorney Docket No.	01-06-1685

METHOD OF PAYMENT	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	
Deposit Account Number	500703
Deposit Account Name	Trojan Law Offices
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	
2. <input checked="" type="checkbox"/> Payment Enclosed:	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other	

FEE CALCULATION			
1. BASIC FILING FEE			
Large Entity	Small Entity		
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	
SUBTOTAL (1) (\$ 355)			
2. EXTRA CLAIM FEES			
Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	9	20** = 9 X 9 = 0	0
Multiple Dependent	3	- 3** = 0 X 40 = 0	0
SUBTOTAL (2) (\$ 0)			

*or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)					
3. ADDITIONAL FEES					
Large Entity	Small Entity				
Fee Code (\$)	Fee Code (\$)	Fee Description			Fee Paid
105 130	205 65	Surcharge - late filing fee or oath			
127 50	227 25	Surcharge - late provisional filing fee or cover sheet			
139 130	139 130	Non-English specification			
147 2,520	147 2,520	For filing a request for ex parte reexamination			
112 920*	112 920*	Requesting publication of SIR prior to Examiner action			
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action			
115 110	215 55	Extension for reply within first month			
116 390	216 195	Extension for reply within second month			
117 890	217 445	Extension for reply within third month			
118 1,390	218 695	Extension for reply within fourth month			
128 1,890	228 945	Extension for reply within fifth month			
119 310	219 155	Notice of Appeal			
120 310	220 155	Filing a brief in support of an appeal			
121 270	221 135	Request for oral hearing			
138 1,510	138 1,510	Petition to institute a public use proceeding			
140 110	240 55	Petition to revive - unavoidable			
141 1,240	241 620	Petition to revive - unintentional			
142 1,240	242 620	Utility issue fee (or reissue)			
143 440	243 220	Design issue fee			
144 600	244 300	Plant issue fee			
122 130	122 130	Petitions to the Commissioner			
123 50	123 50	Processing fee under 37 CFR 1.17(q)			
126 180	126 180	Submission of Information Disclosure Stmt			
581 40	581 40	Recording each patent assignment per property (times number of properties)			
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))			
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))			
179 710	279 355	Request for Continued Examination (RCE)			
169 900	169 900	Request for expedited examination of a design application			
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$ 0)	

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	David Hong	Registration No. (Attorney/Agent)	45,704	Telephone (310) 777-8399
Signature			Date	7/9/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Patent, Trademark, Copyright
Trade Secret & Related Causes

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trojanlaw@aol.com

July 9, 2001

Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

VIA U.S. EXPRESS MAIL (EL732948603US)

Re: CIP U.S. Patent Application on No. 09/414,136 for
"Method of Preparing Fetus to Induce Apoptosis"
Inventor for new CIP: Tsai, D.
Appl No.: Not assigned; Filing Date: Not assigned
Prior Appl Examiner: Celsa (Unit 1627)
Client: AMBRYX BIOTECHNOLOGY, INC.
TLO File No: 01-06-1685

Dear Madam/Sir:

Please find enclosed the following documents for a new CIP U.S. Patent Application based on Serial No. 09/414,136:

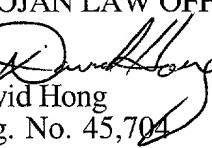
1. Utility Patent Application Transmittal (1 page);
2. Certificate of Mailing (1 page);
3. Cover Letter (1 page);
4. Return Post Card (1 page);
5. Fee Transmittal Form for FY 2001 (1 page; 1 copy).
6. Specification with Claims (3 independent; 6 dependent) (30 pages);
7. Formal Drawing Sheet (1 page);
8. Copy of Declaration of Inventor (David Tsai) (2 pages); and
9. Copy of Power of Attorney (1 page).

Please deduct the appropriate fee amount (\$355.00) from our Deposit Account No. 500703.

Very truly yours,

TROJAN LAW OFFICES

by


David Hong
Reg. No. 45,704

DH:dh
Enclosures
cc: Dr. Tsai

CERTIFICATE OF MAILING (37 C.F.R. 1.10)

I hereby certify that the attached correspondence:

1. Utility Patent Application Transmittal (1 page);
2. Certificate of Mailing (1 page);
3. Cover Letter (1 page);
4. Return Post Card (1 page);
5. Fee Transmittal Form for FY 2001 (1 page; 1 copy).
6. Specification with Claims (3 independent; 6 dependent) (30 pages);
7. Formal Drawing Sheet (1 page);
8. Copy of Declaration of Inventor (David Tsai) (2 pages); and
9. Copy of Power of Attorney (1 page).

is being deposited with the U.S. Postal Service by me personally as Express Mail No.
EL732948603US in an envelope addressed to::

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

on **July 9, 2001**,

for the following United States CIP Patent Application for
"Method of Preparing Fetus to Induce Apoptosis"
Inventor for this new CIP: Tsai, D.
Based on Serial No. 09/414,136;
Prior Examiner: B. Celsa (Unit 1627)
Attorney Docket No: 01-06-1685.

TROJAN LAW OFFICES



David Hong, Reg. No. 45,704

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